Release Notes

SUMMARY	IMPACTED SYSTEM AREA	COMMUNITY
Web CRD		
New Broker-Dealer applicants will be able to submit the Initial Form BD electronically via Web CRD. For additional information, please see <u>www.finra.org/industry/new-bd-firm-registration</u> after December 9, 2017.	Form BD	Firms, FINRA
A new 'Firm – Disclosure Download' report will display all disclosures on Forms BD and ADV for the firm.	Reports	Firms
IARD		
A new 'Firm – Disclosure Download' report will display all disclosures on Forms BD and ADV for the firm.	Reports	Firms
SEC users will be able to use a text editor to better format IARD email notifications.	Notifications	SEC
PFRD		1
Filers submitting Form PF by web service or manual XML upload will be able to enter up to 10 email addresses when requesting automated filing status notifications.	Form PF	Firms
A new completeness check will be added to Question 42 requiring the associated fields to be left blank when 'Relevant/Not formally tested' is selected.	Form PF	Firms, SEC
Question 63(o) will be updated to allow positive, negative, and zero values. The value 'NA' is also allowed.	Form PF	Firms, SEC
Questions 40(b)(vii), 40(b)(viii), and 40(b)(ix) will be updated to allow up to 4 optional decimal places.	Form PF	Firms, SEC