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April 28, 2014

Marcia E. Asquith
Office of the Corporate Secretary FINRA
1735 K Street, NW
Washington, DC 20006-1506

Re: Regulatory Notice 14-09

Dear Ms. Asquith,

My firm is a FINRA member specializing in third party marketing services. Tessera offers traditional long-only investment management services as well as alternative investments to institutional investors and financial intermediaries. I am also a member and a part of the Board of Directors for Third Party Marketer's Association (3PM). I have had an opportunity to review 3PM's comprehensive comments regarding the rule set proposed by Regulatory Notice 14-09 for Limited Corporate Finance Brokers (LCBD). I urge FINRA's Board to carefully consider 3PM's thoughtful and informed commentary, which has earned my strong support.

Respectfully yours,

A handwritten signature in black ink that reads "Donna DiMaria". The signature is written in a cursive, flowing style.

Donna DiMaria
CEO & CCO