

6/13/08

Via E Mail to pubcom@finra.org

Re: FINRA Regulatory Notice 08-26 (Proposed Consolidated FINRA Rule Addressing Investor Education and Protection)

Ladies and Gentlemen:

Thank you for allowing interested parties to comment on this proposal. On behalf of member firm George K. Baum & Company, we respectfully make the following two requests:

First, we request that the proposed Rule 2267 itself, or Supplementary Material thereto, state expressly that member firms are permitted to provide to their customers the required items of information by including those items on periodic account statements and/or on trade confirmations.

Second, we request that the proposed Rule 2267 itself, or Supplementary Material thereto, state expressly that member firms are not required to provide such items of information to "institutional accounts" as defined in NASD Rule 3110(c)(4) or any successor rule thereto.

We would be happy to discuss with FINRA personnel our reasons for making these requests. Thank you.

Sincerely,

Kent J. Lund
Executive Vice President, Chief Compliance Officer
George K. Baum & Company
lund@gkbaum.com

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