Attachment A

Alternative Investments 1. Affiliated Private Funds	Equity 1. Direct Participation Plans
	Direct Participation Plans
2. Commodity Linked Notes	Exchange Traded REITs
	3. Fixed Annuities
	4. Listed Equities
	Non-Exchange Traded REITs
	6. OTC Equities
	7. Penny Stocks
	8. Tenancy In Common (TIC)
9. Hedge Funds	o. Teriality in Common (110)
10. Fund of Funds	
11. Limited Partnership Funds	
12. Limited Partnerships	
13. Private Equity Funds	
14. Reverse Convertible Securities	
Debt	Investment Company Braduets
	Investment Company Products 1. 529 Plans
	2. Closed End Funds
· · · · · · · · · · · · · · · · · ·	
•	3. Commodities
	4. Exchange Traded Funds
	5. Money Market Mutual Funds
	6. Mutual Funds
	7. Unit Investment Trusts
8. Promissory Notes	D :
Derivatives	Private Shares
Great Beraat Greaps	Restricted Private Shares
	Unrestricted Private Shares
3. Futures	
4. Listed Options	
5. OTC Options	
Securitized Debt Instruments	Variable Products
	Equity-Indexed Annuities
3	Life Settlements / Viaticals
	Variable Annuities
	4. Variable Life Insurance
5. Mortgage Backed Securities Non-	
Agency	
Proprietary Products	Variable Rate Demand Notes