



**Attn: Trading and Market Making/Legal and Compliance/Operations/Systems  
UNIFORM PRACTICE ADVISORY (UPC #52-23) 11/30/2023 Quanergy Systems  
Inc. (QNGYQ, QNGWQ)**

Notice has been received that the above Company's First Amended Chapter 11 Plan of Quanergy Systems Inc became effective on 11/30/2023. As of the Effective Date, all Interests of any kind shall be deemed cancelled, and the Holders thereof shall not receive or retain any property, interest in property or consideration under the Plan on account of such Interests. Please consult the company's First Amended Chapter 11 Plan for further details.<sup>1</sup>

Members are reminded of their obligations under FINRA Rule 2111 if they continue to engage in transactions in the above security after the effective date.

Pursuant to FINRA Rule 11530, members are advised that, among other things, in contracts for securities where a public announcement or publication of general circulation discloses that the securities have been deemed worthless, deliveries shall consist of a) the worthless securities or; or b) a Letter of Indemnity which shall grant the purchaser any rights and privileges which might accrue to the holders of the physical securities. Such deliveries shall operate to close-out the contract and shall be settled at the existing contract price pursuant to FINRA Rule 11530.

Questions regarding this notice should be directed to: FINRA Market Operations- 1-866-776-0800.

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<sup>1</sup> See e.g., *In re: Quanergy Systems Inc., et al.*, Debtors Case No. 22-11305 First Amended Chapter 11 Plan of Quanergy Systems Inc.