



**Attn: Trading and Market Making/Legal and Compliance/Operations/Systems
UNIFORM PRACTICE ADVISORY (UPC #34-23) 08/10/2023 Winc, Inc.
(WBEVQ)**

Notice has been received that the above Company's Combined Disclosure Statement and Joint Chapter 11 Plan became effective on 08/09/2023. On the Effective Date, all Interests in Winc shall be canceled as of the Effective Date, and the Holders thereof shall receive no Distribution on account of such interests. See the Company's Combined Disclosure Statement and Joint Chapter 11 Plan for more details.¹

Members are reminded of their obligations under FINRA Rule 2111 if they continue to engage in transactions in the above security after the effective date.

Pursuant to FINRA Rule 11530, members are advised that, among other things, in contracts for securities where a public announcement or publication of general circulation discloses that the securities have been deemed worthless, deliveries shall consist of a) the worthless securities or; or b) a Letter of Indemnity which shall grant the purchaser any rights and privileges which might accrue to the holders of the physical securities. Such deliveries shall operate to close-out the contract and shall be settled at the existing contract price pursuant to FINRA Rule 11530.

Questions regarding this notice should be directed to: FINRA Operations- 1-866-776-0800.

¹ See *e.g., In re: Winc, Inc., et al., Debtors*. Chapter 11 Case No. 22-11238 Combined Disclosure Statement and Joint Chapter 11 Plan of Winc Inc and Its Affiliated Debtors.