



**(Refer to UPC# 113-2021) Trading and Market Making/Legal and Compliance/Operations/Systems UNIFORM PRACTICE ADVISORY (UPC #117-2021) November 15, 2021**

WHEN, AS AND IF ISSUED SETTLEMENT DATES \_\_\_\_\_

**ISSUE**

**Settlement Date**

**Issuer Name: Enterprise Financial Services Corp (EFSSL)**

November 17,2021

**Class of Security: Depository Shares**

Questions regarding this notice should be directed to: FINRA Operations- 1-866-776-0800.