



(Refer to UPC# 110-2021) Trading and Market Making/Legal and Compliance/Operations/Systems UNIFORM PRACTICE ADVISORY (UPC #111-2021) November 2, 2021

WHEN, AS AND IF ISSUED SETTLEMENT DATES _____

ISSUE

Settlement Date

Issuer Name: Bank OZK Little Rock (OZKRP)

November 04, 2021

Class of Security: Series A Non-Cum Perp Preferred Stock

Questions regarding this notice should be directed to: FINRA Operations- 1-866-776-0800.