



(Refer to UPC# 93-2021) Trading and Market Making/Legal and Compliance/Operations/Systems UNIFORM PRACTICE ADVISORY (UPC #94-2021) September 14, 2021

WHEN, AS AND IF ISSUED SETTLEMENT DATES _____

ISSUE

Settlement Date

Issuer Name: New Residential Invt Corp (NRZDP)

September 17, 2021

Class of Security: Pfd Ser D Fixed Rate Reset

Questions regarding this notice should be directed to: FINRA Operations- 1-866-776-0800.