



(Refer to UPC# 83-2021) Trading and Market Making/Legal and Compliance/Operations/Systems UNIFORM PRACTICE ADVISORY (UPC #87-2021) August 18, 2021

WHEN, AS AND IF ISSUED SETTLEMENT DATES _____

ISSUE

Settlement Date

Issuer Name: ConnectOne Bancorp Inc. (CNBOL)

August 20, 2021

Class of Security: Dep Shs Repstg Series A Preferred Stock

Questions regarding this notice should be directed to: FINRA Operations- 1-866-776-0800.