



**(Refer to UPC# 43-2021) Trading and Market Making/Legal and Compliance/Operations/Systems UNIFORM PRACTICE ADVISORY (UPC #45-2021) June 30, 2021**

WHEN, AS AND IF ISSUED SETTLEMENT DATES \_\_\_\_\_

**ISSUE**

**Settlement Date**

**Issuer Name: Cadiz Inc (CDZAP)**

**July 02, 2021**

**Class of Security: Series A Preferred Stock**

Questions regarding this notice should be directed to: FINRA Operations- 1-866-776-0800.