

Testing Accommodations Eligibility Questionnaire

This form must be submitted for all requests to support or provide accommodations during a FINRA-administered exam. Approval must be received from FINRA by the candidate prior to scheduling an exam appointment. See the Exam Candidates Requiring Testing Accommodations page for more information.

NEW: For candidates who have had previously approved testing accommodations, FINRA will automatically reapply the previously approved accommodations to subsequent enrollments for the same exam. Therefore, candidates do not need to submit additional forms or documentation when re-taking the same exam unless different accommodations are being requested.

I.	Candidate Information					
Name	e:					_
Addre	ess:					-
City:_		State:	Zip C	ode:		
Telep	phone Number:	Email Add	lress:			
	didate's FINRA ID# D #, U# or CRD #)		Last 4 of SSN: X	XXX-XX		
Exa	m Series #:					
II.	Accommodations Histo	ry With FINRA				
Has	it been more than five years sin	nce the candidate rece	ived accommoda	tions approva	I from FINRA?	
Is th	ne candidate requesting new, ac	dditional or increased a	ccommodations?	Yes	No	
sup	ou answered "yes" to either of the porting documentation are also quiring Testing Accommodations	required to make a de	cision about this i			
III.	Exam Testing Options					
Hov	v is the candidate intending to te	est? Test	ing Center	Online		
Plea	ase be advised that some accor	mmodations are only a	vailable at a testii	ng center.		

Will the candidate	e be testing with a medical device?	Yes	No				
If yes, please pro	vide details:						
IV. Specific	Specific Accommodation(s) Requested						
^ Non-Comp	outerized Exam	^ Human Rea	ader/Human Recorder				
	tions above are only available for delive advised that they require 10 busines. lations.						
For non-compute and posted to CF	rized exams, please allow five busines RD or TESS.	ss days after t	esting for a paper exam t	o be scored			
** Extra Tim	eMinutes						
	lected, the specific amount of extra timexamination lengths.	ne requested i	is required. Please see th	e attachment			
Other: Pleas	e Specify:						
V. Firm Info	ormation						
Is the candidate s	sponsored by a firm to take this exam?	Yes	No				
If yes, can FINRA	A discuss the candidate's disability and	l/or accommo	dations with the firm?	Yes No			
	ooth of the above questions is yes, the ave this section blank.	fields below a	nre required in order to pr	ocess this			
Firm Name:			BD#:				
Registration/Com	pliance Officer Name:						
Contact Email Ad	dress:	Telepho	one #:				
Firm Signature:_			Date:				

Note: All requests take approximately 4-5 business days to process after which a confirmation email will be sent with the offered accommodations. **Incomplete or insufficient forms will result in additional delays.**

All forms and supporting documentation may be faxed to FINRA at (202) 303-3901 or emailed to TARequest@finra.org

If mailed, forms and documentation should be sent to the following address:

FINRA - Attention: Candidate Services 9509 Key West Avenue, 3rd Floor Rockville, MD 20850

Exam Lengths

Series	Exam	Minutes
	Securities Industry Essentials	105
	National Commodity Futures	150
4	Registered Options Principal Exam	195
6	Investment Company Products and Variable Contracts Representative Exam	90
7	General Securities Representative Exam	225
	General Securities Sales Supervisor - Options	90
10	General Securities Sales Supervisor - General	240
	Compliance Official Exam	180
	Direct Participation Programs Representative Exam	90
	General Securities Principal Exam - Sales Supervisor	150
24	General Securities Principal Exam	225
26	Investment Company and Variable Contracts Products Principal Exam	165
27	Financial and Operations Principal Exam	225
28	Introducing Broker/Dealer Financial and Operations Principal Exam	120
30	NFA Branch Manager Examination	60
31	Futures Managed Funds Examination	60
32	Limited Futures Exam-Regulations	45
	Retail Off-Exchange Forex Examination	60
39	Direct Participation Programs Principal Exam	135
50	Municipal Advisor Representative Examination	180
51	Municipal Fund Securities Limited Principal	90
52	Municipal Securities Representative	150
53	Municipal Securities Principal	180
54	Municipal Advisor Principal	180
57	Securities Trader Representative Exam	105
63	Uniform Securities Agent State Law Exam	75
65	NASAA-Investment Advisors Law Exam	180
66	NASAA-Uniform Combined State Law Exam	150
79	Investment Banking Representative Exam	150
82	Private Securities Offerings Representative Exam	90
86	Research Analyst Exam - Part I - Analysis	270
87	Research Analyst Exam - Part II - Regulatory	105
99	Operations Professional Exam	90
161	Supervisory Analyst Exam Part 1	90
162	Supervisory Analyst Exam Part 2	120